

# SAP006 Building resilience of communities living in landscapes threatened under climate change through an ecosystems-based adaptation approach

Annual Performance Report CY2021

## **APR CY2021 Section 1: General Information - v3 2022-08-09 19:38 +09:00**

### [APR CY2021] Section 1: General Information

Please note that this is section 1 of the five Annual Performance Report (APR) sections. APR will be considered valid only after all the five sections are filled with relevant details.

#### **1.1 Please indicate if information provided in this APR is disclosable outside the Green Climate Fund. \***

- Yes - The Accredited Entity agrees that the information reported is disclosable.
- No - The information reported is partly confidential. The disclosable version of the APR will be attached.

If you select the second option [No - The information reported is partly confidential. The disclosable version of the APR will be attached], please follow the below steps.

- Step 1: Fill in all the sections of the APR with disclosable information.
- Step 2: Save each section using the 'PDF' function provided in the top-right corner. (Do NOT submit an APR at this step).
- Step 3: Attach all of the disclosable sections to the attachment boxes below, which will be shown once you check the second option only.
- Step 4: Update all the sections of the APR with non-disclosable information.
- Step 5: Submit the non-disclosable APR which herein enclose the disclosable APR in PDF format.

#### **1.2 Please indicate if this report has been shared with the relevant NDA(s) for this Funded Activity**

Yes

Once the APR is created in the PPMS, please use the 'Open as PDF' function to download the report in PDF format and to share with relevant authorities (i.e. NDAs) before submission. Select 'Yes' only if shared to ALL the relevant NDA(s).

#### **Please Indicate the date of submission to NDA(s)**

2022-02-14

If the APR is submitted to multiple NDAs, please indicate the latest date of submission to NDA, and provide the other dates per NDA in the further explanation box below.

#### **Please provide further explanation, if any:**

N/A

#### **1.3 Funded Activity Title (Project/Programme Title)**

Building resilience of communities living in landscapes threatened under climate change through an ecosystems-based adaptation approach

#### **1.4 Funding Proposal Reference Number**

SAP006

**1.5 Board Meeting Number**

22

**1.6 Accredited Entity contacts for this APR****Full Name**

Mr. Benedict Libanda

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**Phone Number**

+264 431 7700

**1.7 Executing Entity(ies)****Full Name of Executing Entity**

Ministry of Environment, Forestry and Tourism (MEFT)

This value will be autopopulated from APR 2020.

**Please write the name of the country where the Executing Entity is headquartered.**

Namibia

**1.8 Project Duration****From**

2019-11-20

**To**

2024-11-20

**Check if the extension request for the project duration was approved by the Secretariat during the reporting period.**

Please reach out to the GCF portfolio managers if you need an extension

 Yes (it was extended) No**1.9 Current Year of Implementation**

3

Indicate the year number, e.g., '2'

**1.10 Annual reporting period covered in this report****From**

2021-01-01

**To**

2021-12-31

**Confirmation and Acknowledgement of Information \***

\* This is a required question to submit section 1 of the Annual Performance Report (APR).

 The accredited entity hereby confirms that the information provided in section 1 is complete and ready for submission.**APR CY2021 Section 2: Implementation Progress - v3 2022-08-09 19:38  
+09:00**

## [APR CY2021] Section 2: Implementation Progress

Please note that this is section 2 of the five Annual Performance Report (APR) sections. APR will be considered valid only after all the five sections are filled with relevant details.

### 2.1 Overall (summary) project progress



**Output 1.2: Institutional capacity enhanced for ecosystem landscape management and climate change resilience at sub-national and local levels:**

Training needs assessments focused on EbA concepts were conducted by NACSO-IDWG, to establish capacity levels towards deployment of EbA approaches. The training needs assessments were carried out amongst NGOs, CBOs, development partner organizations, and government extension staff at sub-national and local levels. A training manual is developed and awaits NDA and PSC approval. Training of Trainers (ToT) workshops are scheduled for March 2022.

**Output 2.1: Conservation of biodiversity and ecosystem strengthened through enhanced diversification income-generating activities and development of community livelihood enterprises.**

The SAP006 project employs the same PSC as the FP024 project for oversight and approval of grants as outlined in the project document. Further, the AE's already existing and functional structures namely; Fund Management Committee (FMC), Technical and Advisory Panel (TAP), and Risk and Investment Committee (RIC), required for the assessment and approval of proposals will be utilized under the envisaged EBA grant facility. To this end, a reviewed Grants Award Manual (GAM) and templates have been updated and approved by AE as a small grant kit and await NDA and PSC approval.

Requisite training workshops directed at improving the capacity of targeted beneficiaries on EbA concepts, proposal development, and project management concepts were not executed due to COVID-19 restrictions that curtailed movements and restricted meetings and subsequent group sizes. However, the AE made deliberate efforts to ensure that introductory project management concepts and application processes for EIF-GCF grants were covered during the cluster-based investment plan development meetings. The project management-based meetings and trainings are scheduled for March 2022. The first call for a grants proposal is to be officially announced by EE (MEFT) by February 2022.

**Output 3.1: Effective knowledge management results in informed decision-making at all levels through an integrated information system**

The AE, under the guidance of the NDA, has initiated consultations with stakeholders on the mainstreaming of the EbA approach into national development plans. The consensus was reached to retain existing platforms currently being used by NACSO member organizations. Discussions are currently underway to incorporate EbA activities with sister projects and organizations implementing similar programs in Namibia. The institutions and projects that are earmarked for coordinating activities with are GIZ and GEF-funded Namibia Integrated Landscape Approaches for Enhancing Livelihoods and Environmental Governance to Eradicate Poverty (NILALEG) project which is implementing similar programs in EbA target landscapes.

**Co-financing summary**

Co-financing in this context is exclusively in-kind on the part of the NDA. As a result, no funds were physically received for project activities during the reporting period. To this end, activities undertaken on EE expense and expertise during the reporting period are related to the hosting of meetings and support rendered by regional offices during consultation workshops for eight (8) targeted landscapes.

**Output 4.1: Monitoring and Evaluation**

During the reporting period, the AE organized one (1) virtual PSC meeting held on the 26<sup>th</sup> of May 2021. During the first meeting, the PSC approved the project work plan, budget, and procurement plan for 2021. It was then deemed suitable that, to ensure that the PSC is abreast of the project implementation progress amid COVID-19 pandemic challenges, the PMU should continue to develop quarterly progress updates to the PSC. To this end, the PMU successfully held three progress update sessions with NDA and AE and submitted quarterly technical and financial progress reports to PSC. The 2020 financial audit was conducted in July 2021 and an unqualified independent financial audit report was submitted to GCF in September 2021.

### **Challenges experienced during the reporting period**

#### **1. COVID-19 Pandemic**

- Coordination of the project activities was affected due to COVID-19 related challenges, for instance; various key institutions were temporarily closed or restricted access to their offices for most of the first and second quarter.
- Field level activities and activities requiring participatory engagements with the targeted beneficiaries and stakeholders were delayed due to prolonged Covid-19 related regulations and restrictions that were only eased towards the latter part of the year.
- Adverse effects of COVID-19 on keyholders, consultants, and PMU to set meetings and travel. Although efforts were made to coordinate activities virtually, the turnaround times for decisions and approvals became lengthy as some stakeholders had no reliable internet connection.

Provide a narrative report describing the overall progress on the implementation of the funded activity, focusing on implementation achievements, delays, and challenges according to the planned activities. As relevant, include references to other sections of this report (including Annexes or Attachments). Include a description of key milestones of the funded activity achieved during this reporting period including any deviations from original expectations. Also, describe challenges encountered and actions undertaken to resolve these challenges, and lessons learned during the implementation, including issues related to non-compliance with GCF standards or conditions, if any. In parallel, include positive achievements and better-than-expected results. If any issues have arisen in the last twelve (12) months of implementation that may result in a change to the scope and/or timing of the project, please provide a description of those items and how they have impacted the implementation period and final targets. Kindly make sure that this section just gives an overall summary and doesn't have overlap with other sections.

#### **2.2 Performance against the GCF investment criteria (summary)**

The project has achieved minimal progress against the six GCF investment criteria due to challenges and delays reported in the previous APR (delays in the commencement of the project due to challenges associated with the fulfillment of pre-disbursement conditions and COVID-19 related challenges) that has had a knock-on effect on the implementation of activities. Further, the project continues to experience COVID-19 related challenges that have mostly hindered successful coordination and implementation of funded activities.

Provide a narrative report describing the progress on the funded activity's performance against the GCF investment criteria framework. The performance should be compared against the initial assessment provided in the Board-approved Funding Proposal (section E). The list of the investment criteria as per the current framework is provided below. For each investment criteria outlined below, please include an assessment of current status, changes, progress and impact of the project as well as any impact of project context on the project during this reporting period against the initial baseline scenario and planned activities as per the assessment presented in the approved Funding Proposal. This sub-section 2.2 is not applicable for REDD+ Results-Based Payments Projects. Please write 'Not Applicable' as the response.

### Relevant Links

[The GCF investment criteria framework](#)

#### 2.2.1 Impact Potential ?

The key impact potential indicator, i.e. total number of direct and indirect beneficiaries with reduced vulnerability or increased resilience, remains the same as the initial baseline due to reasons indicated above under 2.2. However, most of the project's impact will be realized with the implementation of the small grants facility, the first call to be announced during the first quarter of 2022. To date, considerable efforts have been made (and are presently being made) with progress towards reaching the desired impacts as highlighted below:

- The AE created awareness on climate threats and related appropriate responses/interventions during the investment plan meetings and workshops held with various stakeholders in the eight (8) targeted landscapes in the third and fourth quarters of 2021. The workshops were attended by over two hundred and seventy-four (274) participants from various institutions namely; Regional councils, CBOs, NGOs, water points committees, Farmers Associations, and women groups. Further, the investment plan workshops empowered local and regional institutions to determine climate change adaptation and mitigation solutions that directly respond to their needs, vulnerabilities, and opportunities to be considered for possible funding under the the SAP006 small grants facility. About 60,000 direct beneficiaries (50% female and 50% male) and 156,000 indirect beneficiaries are expected to benefit through the small grants facility.

### 2.2.2 Paradigm shift potential ?

During the reporting period, minimal progress was made towards achieving desired paradigm shift for the same reasons indicated above under 2.2. However, the operational/administrative progress stated below is worth noting;

#### (a) Potential for scaling up and replication

- The project, through the introduction and implementation of the EbA concept is already a paradigm shift within the CBNRM program. The AE, in close partnership with NACSO-IWG and NDA, held regional workshops from August to September 2021 in seven (7) out of 13 political regions to create synergies and cooperation in the CBNRM fraternity. The meetings further served as platforms for sensitizing stakeholders towards the need for strengthening CBNRM Coordination in the regions while informing the regional political leadership and management about the EbA Project.
- Additionally, consultations and awareness workshops were conducted with regional conservancy associations and community forest associations to strengthen existing CBNRM forums in Northcentral Landscapes and Kunene South and Duares Landscapes (held in October 2021), and support the establishment of new CBNRM forums in Hardap and Karas regions (held in August 2021).
- The CBNRM forums will serve as a platform for information sharing in order to enhance the coordination of EbA concepts within the CBNRM program and its activities. The actual paradigm shift will be realized as the project is being executed.

#### (b) Potential for knowledge and learning

During the reporting, the project documented the development of investment plans and captured lessons on the importance of multi-stakeholder engagement and the utilization of existing structures with the view of increasing efficiencies during project implementation.

#### (c) Contribution to the creation of an enabling environment

The Namibian government through the Ministry of Environment, Forestry and Tourism (MEFT) in its capacity as the EE has provided enabling support through expertise rendered by regional staff during the development of investment plans and stakeholder meetings. Further the project has initiated foundational processes through engagements with the relevant national and regional institutions and CBNRM fraternity on the adoption and mainstreaming of EbA and landscape management concepts.

#### (d) Contribution to regulatory framework and policies

For the same reasons indicated above under 2.2; Activities under component 3, that directly contribute to this investment criteria were not implemented during the reporting period.

### 2.2.3 Sustainable development potential ?

For the same reasons indicated above under 2.2, the investment criteria will only be assessed during the next reporting period. However, it is acknowledged that the project has strong sustainable development potential, and will directly contribute to SDGs 13, 14, and 15.

Operational processes have been initiated to support the strengthening of beneficiaries' capacities to ensure better investment in ecological infrastructures and ecosystem restoration activities that protect the environment. Similarly, investment plans have been developed to direct possible interventions that target landscape-based communities towards eradicating poverty and improving livelihoods and resilience amidst prevailing climatic conditions.

### 2.2.4 Needs of the recipient ?

The development of investment plan for the eight (8) targeted landscapes empowered and enabled natural resource-reliant communities to participate in the determination of climate change adaptation and mitigation solutions that directly respond to their needs, vulnerabilities, and opportunities, that will be considered for possible funding that under the SAP006 small grants facility.

### 2.2.5 Country Ownership ?

The SAP006 Project's alignment with Namibia's country's strategic, policy, and legislative framework has been adequately articulated in Section E5 of the approved funding proposal (Paragraph 136).



### 2.2.6 Efficiency and Effectiveness ?

The following measures were put in place to ensure that the project is run effectively and efficiently:

- Already existing CBNRM platforms accommodate and spearhead SAP006 interventions in target landscapes.
- The AE has streamlined efficiency through the adoption of financial management and reporting processes specifically for the GCF-funded projects to ensure timeous reporting and due diligence for the small grants facility.
- SAP006 and FP024 share a Monitoring and Evaluation Officer.
- SAP006 project share and attend platforms of sister organizations that implement similar landscape-based activities in target landscapes e.g GIZ and NILALEG project.

### 2.3 Project Outputs Implementation Status

**Please note the below fields are mandatory but only a one-time activity. Please fill out the project output details regardless of any progress made so far, which will be auto-populated in the next APR and on wards.**

**Use 'Add Row' button to add multiple outputs and/or activities reported against one output**
**Project Output Name \***

Output 1.1: Institutional landscape governance systems created and/or strengthened through participatory decision-making processes and knowledge sharing at local level.

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

**Project Activity Name \***

Project Activity 1.1.1: Develop Landscape Management Strategies and Investment Plans for the eight landscapes covering 225,689 km<sup>2</sup> hectares of land.

**Status \***

Activity started - progress delayed

**Implementation Progress \***

70

%

**Original timeline planned for this activity \***

Q1 2019 to Q2 2023

Please refer to the Implementation Timetable in the log-frame

**Progress for the relevant reporting period**

1. ToR for Landscape Management Strategies and Investment Plans developed and accepted by AE & NDA in June 2021.
2. Consultants contracted in August 2021 to develop landscape management strategies and investment plans.
3. Draft investment plans verified with stakeholders and inputs incorporated in October 2021.

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, the reason for differences between the planned implementation progress and actual implementation progress, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

**Key milestones and deliverables for the next reporting period**

- Approval of investment plans by AE, NDA & PSC
- Implementation of landscape management and investment strategies through grant financing.
- Monitoring reports generated.

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

**Project Output Name \***

Output 1.1: Institutional landscape governance systems created and/or strengthened through participatory decision-making processes and knowledge sharing at local level.

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

**Project Activity Name \***

Activity 1.1.2: Design training manuals on ecosystem-based adaptation and its application for community-based organizations, NGOs, and government extension services.

**Status \***

Activity started - progress delayed

**Implementation Progress \***

45

%

**Original timeline planned for this activity \***

Q1 2019 to Q1 2023

Please refer to the Implementation Timetable in the log-frame

**Progress for the relevant reporting period**

- NACSO was contracted in July 2021 to mainstream EbA concepts in the CBNRM program. NACSO's Institutional Development Working Group (IWG ) was selected to spearhead rolling out training in eight target landscapes.
- Landscapes specific training needs assessment executed and findings analyzed,
- Draft training manual produced and being reviewed and standardized by NACSO-IWG.
- This activity is delayed, training will be conducted during the first quarter of 2022.

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, the reason for differences between the planned implementation progress and actual implementation progress, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

**Key milestones and deliverables for the next reporting period**

- Training manual approved by NDA & PSC.
- Training program developed and approved.
- ToT workshops with CBOs, NGO's and government extension services in 8 landscapes on ecosystem-based adaptation, conducted by NACSO IDWG.
- Undertake cluster-based awareness-raising training on EbA concept in 8 landscapes.
- Review knowledge levels towards adoption of ecosystem-based adaptation among trainees.

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

**Project Output Name \***

Output 1.1: Institutional landscape governance systems created and/or strengthened through participatory decision-making processes and knowledge sharing at local level.

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

**Project Activity Name \***

Activity 1.1.3: Mainstream of EbA and landscape management into the CBNRM Program through technical assistance support to landscapes.

**Status \***

Activity started - progress delayed

**Implementation Progress \***

30

%

**Original timeline planned for this activity \***

Q1 2019 to Q2 2023

Please refer to the Implementation Timetable in the log-frame

**Progress for the relevant reporting period**

- Stakeholder Engagement Strategy was developed and subsequently endorsed by AE, NDA, and PSC in May 2021.
- NACSO was contracted in July 2021 to mainstream EbA concepts in the CBNRM program.
- NACSO-IDWG conducted awareness-raising meetings on EbA concept and landscape management held in three landscapes (Kunene south and Daures Landscape, Central Northern landscapes, and Southern landscapes).

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, the reason for differences between the planned implementation progress and actual implementation progress, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

**Key milestones and deliverables for the next reporting period**

- Regional and National awareness meetings on EbA mainstreaming conducted by NACSO-IDWG and reports developed.
- NACSO Work program for 2022 developed and approved.
- CBNRM stakeholders forums established in the eight landscapes.

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

**Project Output Name \***

Output 1.1: Institutional landscape governance systems created and/or strengthened through participatory decision-making processes and knowledge sharing at local level.

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

**Project Activity Name \***

Activity 1.1.4: Establishment of a national working group on EbA and landscape conservation within the CBNRM Programme.

**Status \***

Activity started - progress delayed

**Implementation Progress \***

55

%

**Original timeline planned for this activity \***

Q1 2019 to Q2 2023

Please refer to the Implementation Timetable in the log-frame

**Progress for the relevant reporting period**

- Already existing NACSO CBNRM national platform endorsed by stakeholders to act as a National Working Group that will fast track implementation of the EbA activities in target landscapes.
- Virtual Informative presentation on SAP006 project carried out by SAP006 PMU for various key stakeholders in July 2021.
- AE and NACSO signed a legally binding agreement in July 2021.
- NACSO membership fully informed the SAP006 project objectives and proposed interventions.
- NACSO IDWG was identified as the most suitable entity to introduce and train targeted landscape institutions and communities and on EbA concepts.

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, the reason for differences between the planned implementation progress and actual implementation progress, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

**Key milestones and deliverables for the next reporting period**

- NWG operationalized – with the requisite schedule of activities, meetings reports.
- NACSO IWG submits requisite reports which are reviewed and approved
- Undertake an annual review of the NWG generate a report.

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

**Project Output Name \***

Output 1.1: Institutional landscape governance systems created and/or strengthened through participatory decision-making processes and knowledge sharing at local level.

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

**Project Activity Name \***

Activity 1.1.5: Technical assistance support to landscapes through NACSO Partners.

**Status \***

Activity started - progress delayed

**Implementation Progress \***

50

%

**Original timeline planned for this activity \***

Q1 2019 to Q2 2023

Please refer to the Implementation Timetable in the log-frame

**Progress for the relevant reporting period**

1. A three-year service level agreement signed with NACSO in July 2021, to the implementation of activities aimed at mainstreaming EbA and landscape management concepts into the CBNRM Programme through technical assistance support to landscapes. NACSO's IDWG was selected to spearhead activities.
2. Back to back, eight cluster landscape-based outreach services were conducted with NACSO partners, investment plan consultants, and EbA PMU.

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, the reason for differences between the planned implementation progress and actual implementation progress, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

**Key milestones and deliverables for the next reporting period**

- Implementation of the EbA technical assistance support to landscapes and reports developed
- Landscapes based investments assessment executed by NACSO IDWG
- Landscapes investments reports approved by NDA and PSC.
- Landscapes specific training assessment executed.

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

**Project Output Name \***

Output 1.2: Institutional capacity enhanced for ecosystem landscape management and climate change resilience at sub-national and local levels

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

**Project Activity Name \***

Activity 1.2.1: Undertake training at national and sub national, and local levels other to reinforce the ability to deploy the EbA approaches;

**Status \*** **Implementation Progress \***

Activity started - progress delayed 10 %

**Original timeline planned for this activity \***

Q1 2019 to Q2 2023

Please refer to the Implementation Timetable in the log-frame

**Progress for the relevant reporting period**

1. ToRs for capacity building training drafted in consultation with NDA and approved by PSC.  
2. Contract signed with NACSO.

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, the reason for differences between the planned implementation progress and actual implementation progress, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

**Key milestones and deliverables for the next reporting period**

- Standardization EbA training manual and approval NDA and PSC.
- Training plan developed and approved.
- Training on the EbA concept conducted and report developed.
- Gender mainstreaming training conducted and report developed.

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

**Project Output Name \***

Output 1.2: Institutional capacity enhanced for ecosystem landscape management and climate change resilience at sub-national and local levels

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

**Project Activity Name \***

Activity 1.2.2: Develop a land use compliance monitoring and enforcement system at landscape level

**Status \*** **Implementation Progress \***

Activity started - progress delayed 0 %

**Original timeline planned for this activity \***

Q1 2019 to Q2 2023

Please refer to the Implementation Timetable in the log-frame

**Progress for the relevant reporting period**

1. Main activities are scheduled for the next reporting period/financial year

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, the reason for differences between the planned implementation progress and actual implementation progress, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

**Key milestones and deliverables for the next reporting period**

- Undertake assessments of current land-use practice, compliance requirements, and levels of compliance - baseline
- Develop ToRs for the manual and guidelines on land use compliance monitoring and enforcement systems and seek NDA and PSC approval.
- Contract service provider.
- Land use compliance monitoring and enforcement system developed and endorsed by AE, EbA NWG, NDA, and PSC.
- Monitor compliance on EbA implementation at landscape levels.

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

**Project Output Name \***

Output 1.2: Institutional capacity enhanced for ecosystem landscape management and climate change resilience at sub-national and local levels

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

**Project Activity Name \***

Activity 1.2.3: Undertake training for regional extension staff, field officers and local communities to implement EbA protocols for establishment of a climate-resilient natural resource base.

**Status \***

Activity started - progress delayed

**Implementation Progress \***

25

%

**Original timeline planned for this activity \***

Q1 2019 to Q2 2023

Please refer to the Implementation Timetable in the log-frame

**Progress for the relevant reporting period**

- ToRs for capacity building and training drafted in consultation with NDA and approved by PSC.
- Contract signed with NACSO and NACSO IWG selected to lead activities.
- Training needs assessment survey carried out with potential training recipients and service providers in target landscapes.

**Challenges:**

1. The worsening of the COVID-19 outbreaks and attached restrictions affected the planned activities related to regional training.

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, the reason for differences between the planned implementation progress and actual implementation progress, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

**Key milestones and deliverables for the next reporting period**

- Standardization EbA training manual and approval NDA and PSC.
- Training plan developed and approved.
- Training on EbA protocols conducted and report developed.
- Gender mainstreaming training conducted and report developed.

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

**Project Output Name \***

Output 1.2: Institutional capacity enhanced for ecosystem landscape management and climate change resilience at sub-national and local levels

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

**Project Activity Name \***

Activity 1.2.4: Develop a business case for EbA through application of socio-economic evaluation tools to measure benefits of a range of ecosystem services.

**Status \***

Activity started - progress delayed

**Implementation Progress \***

0

%

**Original timeline planned for this activity \***

Q1 2019 to Q2 2023

Please refer to the Implementation Timetable in the log-frame

**Progress for the relevant reporting period**

1. Main activities rescheduled to the next reporting period.

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, the reason for differences between the planned implementation progress and actual implementation progress, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

**Key milestones and deliverables for the next reporting period**

- ToRs for socio-economic evaluation tools and economic analysis on EbA investments developed and approved by NDA and PSC.
- Consultants contracted.
- Financial and economic analysis conducted and reports developed.

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

**Project Output Name \***

Output 2.1: Conservation of biodiversity and ecosystem strengthened through enhanced diversification income-generating activities and development of community livelihood enterprises.

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

**Project Activity Name \***

Activity 2.1.1: Design of guidelines and proposal templates for the Small Grants Facility

**Status \***

Activity started - progress delayed

**Implementation Progress \***

5

%

**Original timeline planned for this activity \***

Q1 2019 to Q2 2023

Please refer to the Implementation Timetable in the log-frame

**Progress for the relevant reporting period**

1. GAM and templates updated, improved, and approved by AE as a small grant kit.

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, the reason for differences between the planned implementation progress and actual implementation progress, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

**Key milestones and deliverables for the next reporting period**

- Small Grant kit approved by NDA and PSC.
- Assessment application by AE's grants evaluation and appraisal structures (FMC, TAP, RIC, and PSC) and Awarding of granting in the 8 landscapes.

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

**Project Output Name \***

Output 2.1: Conservation of biodiversity and ecosystem strengthened through enhanced diversification income-generating activities and development of community livelihood enterprises.

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

**Project Activity Name \***

2:1.2 Undertake training in each landscape to build capacities of all stakeholders on project development and management.

**Status \***

Activity started - progress delayed

**Implementation Progress \***

5

%

**Original timeline planned for this activity \***

Q1 2019 to Q2 2023

Please refer to the Implementation Timetable in the log-frame

**Progress for the relevant reporting period**

1. Training materials and program for project proposal development and management trainings finalized

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, the reason for differences between the planned implementation progress and actual implementation progress, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

**Key milestones and deliverables for the next reporting period**

- Training program approved by NDA and PSC.
- Training undertaken in target landscapes and a report produced.

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

**Project Output Name \***

Output 2.1: Conservation of biodiversity and ecosystem strengthened through enhanced diversification income-generating activities and development of community livelihood enterprises.

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

**Project Activity Name \***

Activity 2.1.3: Implement a Small Grants Facility to support EbA interventions in the eight landscapes

**Status \***

Activity started - progress delayed

**Implementation Progress \***

15

%

**Original timeline planned for this activity \***

Q1 2019 to Q2 2023

Please refer to the Implementation Timetable in the log-frame

**Progress for the relevant reporting period**

1. Small grants tool kit updated as per activity 2.1.1 above and awaiting NDA and PSC approval.
2. The existing evaluation and appraisal structures (FMC, TAP, and PSC) currently utilized for the FP024's grant facility have been informed of their added responsibilities for SAP006 and will assume such responsibilities to approve proposals, create synergies, avoid duplication, monitor and evaluation of the project as a whole.

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, the reason for differences between the planned implementation progress and actual implementation progress, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

**Key milestones and deliverables for the next reporting period**

- Advertise "Call for Proposals" on multiple media platforms.
- Receive, review, and approval of grants of proposals by all the AE' grants evaluation and appraisal structures including PSC.
- Award 16 grants under the two investment windows under the first call.
- Host grants awarding ceremony.
- Disburse grants, conduct monitoring visits and generate reports.

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

**Project Output Name \***

Output 3.1: Effective knowledge management results in informed decision-making at all levels through an integrated information system.

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

**Project Activity Name \***

Activity 3.1.1: Develop appropriate knowledge products, including photo stories, presentations and briefing notes, for use in policy advocacy activities

**Status \***

Activity started - progress delayed

**Implementation Progress \***

15

%

**Original timeline planned for this activity \***

Q1 2019 to Q2 2023

Please refer to the Implementation Timetable in the log-frame



**Progress for the relevant reporting period**

1. Investment plan workshop report developed and shared with stakeholders.

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, the reason for differences between the planned implementation progress and actual implementation progress, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

**Key milestones and deliverables for the next reporting period**

- ToR for the development of knowledge management products developed in consultation with the NDA and approved by PSC.
- Contract service provider.
- Document implementation of project activities and develop lessons learned.
- Publish articles and success stories on all media platforms.

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

**Project Output Name \***

Output 3.1: Effective knowledge management results in informed decision-making at all levels through an integrated information system.

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

**Project Activity Name \***

Activity 3.1.2: Conduct annual policy advocacy activities and local level forums for lesson learned throughout the life of the project, including at relevant national and regional events

**Status \***

Activity not yet due

**Implementation Progress \***

0

%

**Original timeline planned for this activity \***

Q1 2019 to Q2 2023

Please refer to the Implementation Timetable in the log-frame

**Progress for the relevant reporting period**

Main activities rescheduled to the next reporting period.

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, the reason for differences between the planned implementation progress and actual implementation progress, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

**Key milestones and deliverables for the next reporting period**

None - activity scheduled for 2023

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

**Project Output Name \***

Output 3.1: Effective knowledge management results in in-formed decision-making at all levels through an integrated information system.

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

**Project Activity Name \***

Activity 3.1.3: Develop a national EbA Strategy in consultation with the NDC and NAP teams under the National Designated Authority guidance that will mainstream EbA into national development plans

**Status \***

Activity not yet due

**Implementation Progress \***

0

%

**Original timeline planned for this activity \***

Q1 2019 to Q2 2023

Please refer to the Implementation Timetable in the log-frame

**Progress for the relevant reporting period**

Main activities rescheduled to the next reporting period.

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, the reason for differences between the planned implementation progress and actual implementation progress, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

**Key milestones and deliverables for the next reporting period**

None- activity scheduled for 2023

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

**Project Output Name \***

Output 3.1: Effective knowledge management results in in-formed decision-making at all levels through an integrated information system.

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

**Project Activity Name \***

Activity 3.1.4: Produce a policy based assessment reports that provide recommendations for up scaling and main-streaming EbA into national development plans

**Status \***

Activity not yet due

**Implementation Progress \***

0

%

**Original timeline planned for this activity \***

Q1 2019 to Q2 2023

Please refer to the Implementation Timetable in the log-frame

**Progress for the relevant reporting period**

Main activities rescheduled to the next reporting period.

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, the reason for differences between the planned implementation progress and actual implementation progress, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

**Key milestones and deliverables for the next reporting period**

None- activity scheduled for 2023.

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

**Project Output Name \***

Monitoring and Evaluation

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

**Project Activity Name \***

Project Activity : Monitoring and evaluation

**Status \***

Activity started - progress delayed

**Implementation Progress \***

50

%

**Original timeline planned for this activity \***

Q1 2019 to Q2 2023

Please refer to the Implementation Timetable in the log-frame

**Progress for the relevant reporting period**

1. Quarterly progress update sessions held with NDA.
2. Two virtual PSC meetings held in 2021
3. Four (4) quarterly progress reports submitted to PSC.

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, the reason for differences between the planned implementation progress and actual implementation progress, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

**Key milestones and deliverables for the next reporting period**

- Monthly update sessions with NDA.
- Quarterly PSC meetings held.
- Quarterly progress reports for SAP006 generated and approved by AE.
- Conduct the Annual Financial Audit for 2021.
- Due diligence visits to be conducted on recommendation by FMC, TAP, and PSC.
- Grantees contracted.
- Grantees progress report reviewed and approved.
- Quarterly M&E visits conducted.
- ToR for Interim Independent Evaluation developed and approved by NDA and PSC.
- Contract a service provider.
- Interim Independent Evaluation conducted, report approved by NDA and PSC.
- Interim Independent Evaluation to GCF.
- incorporate Interim Independent Evaluation comments.

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

## 2.4 Progress Update on the Logic Framework Indicators

Values of Baseline, mid-term target and final targets should be the same from the approved funding proposals unless calculation methodologies were revised in agreements with the GCF. Please attach a supporting document(s) describing the calculation methodology of the current value of all the indicators in Section 5; the indicators cover core, impact, outcome, and output levels. If there is a change in the methodology, you need to include clear justifications for the change and changed values as compared to the previous year.

This sub-section 2.4 is not applicable for REDD+ Results-Based Payments Projects. Please write 'Not Applicable' as the response.

2.4.1 Core Indicators

Select applicable core indicators

- Mitigation Core Indicator 1 - Tonnes of carbon dioxide equivalent (tCO2eq) reduced as a result of GCF funded project/programme
- Mitigation Core Indicator 2 - Cost per tCO2eq decreased for GCF funded project/programme
- Mitigation Core Indicator 3 - Volume of finance leveraged by GCF funding (Disaggregated by public/private source)
- Adaptation Core Indicator 1 - Direct Beneficiaries of GCF funded project/programme
- Adaptation Core Indicator 2 - Indirect Beneficiaries of GCF funded project/programme
- Adaptation Core Indicator 3 - Number of total beneficiaries relative to total population

**Adaptation Core Indicator 1 - Direct Beneficiaries of GCF funded project/programme (Units: number of individuals and percentage %)**

Please provide ex-post 'Current Value' on a cumulative basis. Please note that the values should be based on total funding (GCF funding and co-financing).

<b>Baseline</b>	<b>Baseline (% of female)</b>
0	0 %
<b>Current Value</b>	<b>Current Value (% of female)</b>
0	0 %
<b>Mid-term Target</b>	<b>Mid-term Target (% of female)</b>
30000	50 %
<b>Final Target</b>	<b>Final Target (% of female)</b>
60000	50 %

Remarks (including changes, if any)

Grants facility not yet established.

**Adaptation Core Indicator 2 - Indirect Beneficiaries of GCF funded project/programme (Units: number of individuals and percentage %)**

Please provide ex-post 'Current Value' on a cumulative basis. Please note that the values should be based on total funding (GCF funding and co-financing).

<b>Baseline</b>	<b>Baseline (% of female)</b>
0	0 %
<b>Current Value</b>	<b>Current Value (% of female)</b>
0	0 %
<b>Mid-term Target</b>	<b>Mid-term Target (% of female)</b>
120000	50 %
<b>Final Target</b>	<b>Final Target (% of female)</b>
156000	50 %

Remarks (including changes, if any)

2.4.2 Impact Indicators

**Select applicable impact indicators**

- M1.1 Tonnes of carbon dioxide equivalent (tCO2eq) reduced or avoided as a result of increased low-emission energy access and power generation
- M2.1 Tonnes of carbon dioxide equivalent (tCO2eq) reduced or avoided as a result of increased access to low-emission transport
- M3.1 Tonnes of carbon dioxide equivalent (tCO2eq) reduced or avoided as a result of buildings, cities, industries and appliances
- M4.1 Tonnes of carbon dioxide equivalent (tCO2eq) reduced or avoided as a result of sustainable management of forests and conservation and enhancement of forest carbon stocks
- A1.1 Change in expected losses of lives and economic assets due to the impact of extreme climate-related disasters in the geographic area of the GCF intervention
- A1.2 Number of males and females benefiting from the adoption of diversified, climate resilient livelihood options (including fisheries, agriculture, tourism, etc.)
- A1.3 Number of Fund funded projects/programmes that supports effective adaptation to fish stock migration and depletion due to climate change
- A2.1 Number of males and females benefiting from introduced health measures to respond to climate-sensitive diseases
- A2.2 Number of food secure households (in areas/periods at risk of climate change impacts)
- A2.3 Number of males and females with year round access to reliable and safe water supply despite climate shocks and stresses
- A3.1 Number and value of physical assets made more resilient to climate variability and change, considering human benefits (reported where applicable)
- A4.1 Coverage/scale of ecosystems protected and strengthened in response to climate variability and change
- A4.2 Value of ecosystem services generated or protected in response to climate change

**A1.2 Number of males and females benefiting from the adoption of diversified, climate resilient livelihood options (including fisheries, agriculture, tourism, etc.) (Units: number of individuals and percentage %)**

Please provide ex-post 'Current Value' on a cumulative basis.

<b>Baseline</b>	<b>Baseline (% of female)</b>
0	0 %
<b>Current Value</b>	<b>Current Value (% of female)</b>
0	0 %
<b>Mid-term Target</b>	<b>Mid-term Target (% of female)</b>
100	50 %
<b>Final Target</b>	<b>Final Target (% of female)</b>
2000	50 %
<b>Remarks (including changes, if any)</b>	
N/A	

**A2.2 Number of food secure households (in areas/periods at risk of climate change impacts) (Unit: number of individuals/households, % percentage, select as many as applicable)**

Please provide ex-post 'Current Value' on a cumulative basis.

**Select applicable units**

- Persons  
 Households

**Unit - Households**

Please provide ex-post 'Current Value' on a cumulative basis.

Baseline	Baseline (% of female-headed households)
18680	0 %
Current Value	Current Value (% of female-headed households)
0	0 %
Mid-term Target	Mid-term Target (% of female-headed households)
27357	50 %
Final Target	Final Target (% of female-headed households)
54713	50 %

**Remarks (including changes, if any)**

N/A

**A3.1 Number and value of physical assets made more resilient to climate variability and change, considering human benefits (Units: multiple, as applicable)**

Please provide ex-post 'Current Value' on a cumulative basis.

**Select Units (as many as applicable)**

- Persons  
 Economic Assets  
 Other

**Unit - Economic Assets****Currency**

USD

Baseline	Current Value	Mid-term Target	Final Target
0	0	2000000	3910000

**Unit - Other**

Baseline	Current Value	Mid-term Target	Final Target
0	0	20	40

**Remarks (including changes, if any)**

N/A

**A4.1 Coverage/scale of ecosystems protected and strengthened in response to climate variability and change (Units: multiple, as applicable)**

Please provide ex-post 'Current Value' on a cumulative basis.

Use 'Add row' button to add multiple units/sub-indicators

<b>Unit</b>			
Hectares			
<b>Baseline</b>	<b>Current Value</b>	<b>Mid-term Target</b>	<b>Final Target</b>
30000	0	50000	100000
<b>Remarks (including changes, if any)</b>			
N/A			

<b>Unit</b>			
Hectares			
<b>Baseline</b>	<b>Current Value</b>	<b>Mid-term Target</b>	<b>Final Target</b>
2000	0	10000	10000
<b>Remarks (including changes, if any)</b>			
N/A			

**2.4.3 Project/Programme-level Outcome & Output Indicators**

Please provide ex-post 'Current Value' on a cumulative basis. If you have multiple outputs to be reported against one outcome, you need to write down the same outcome name for every output. Likewise, if you have multiple indicators to be reported against one output, you need to write down the same output name and corresponding outcome name for every indicator.

Use 'Add row' button to add multiple outcomes, outputs and/or indicators.

<b>Results Area Type</b>	<b>Outcome Name</b>		
Adaptation	5.0 Strengthened institutional and regulatory systems for climate responsive planning and development		
<b>Output Name (under the afore-mentioned outcome)</b>			
Output 1.1 Institutional landscape governance systems created and/or strengthened through participatory decision making processes and knowledge sharing at local level.			
Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.			
<b>Indicator Name</b>			
5.1 Degree of integration/mainstreaming of EbA in landscape planning and coordination in information sharing and project implementation			
<b>Unit</b>			
Percentage			
<b>Baseline</b>	<b>Current Value</b>	<b>Mid-term Target</b>	<b>Final Target</b>
0	0	50	70
<b>Remarks (including changes, if any)</b>			
N/A			

<b>Results Area Type</b>	<b>Outcome Name</b>		
Adaptation	5.0 Strengthened institutional and regulatory systems for climate responsive planning and development		
<b>Output Name (under the afore-mentioned outcome)</b>			
Output 1.1 Institutional landscape governance systems created and/or strengthened through participatory decision making processes and knowledge sharing at local level.			
Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.			
<b>Indicator Name</b>			
Number of EbA Plans developed in the targeted landscape			
<b>Unit</b>			
Number			
<b>Baseline</b>	<b>Current Value</b>	<b>Mid-term Target</b>	<b>Final Target</b>
0	0	5	8
<b>Remarks (including changes, if any)</b>			
N/A			

<b>Results Area Type</b>	<b>Outcome Name</b>		
Adaptation	5.0 Strengthened institutional and regulatory systems for climate responsive planning and development		
<b>Output Name (under the afore-mentioned outcome)</b>			
Output 1.1 Institutional landscape governance systems created and/or strengthened through participatory decision making processes and knowledge sharing at local level.			
Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.			
<b>Indicator Name</b>			
5.2 Number and level of effective coordination mechanisms developed and implemented in the landscape			



**Unit**

Level

Baseline	Current Value	Mid-term Target	Final Target
0	0	2	4

**Remarks (including changes, if any)**

N/A

**Results Area Type** Adaptation

**Outcome Name** 6.0 Increased generation and use of climate information in decision- making

**Output Name (under the afore-mentioned outcome)**  
Output 1.1 Institutional landscape governance systems created and/or strengthened through participatory decision making processes and knowledge sharing at local level.

Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.

**Indicator Name** 6.2 Use of climate information/services in decision-making in climate change sensitive sectors.

**Unit** Percentage

Baseline	Current Value	Mid-term Target	Final Target
0	0	40	80

**Remarks (including changes, if any)**

N/A

**Results Area Type** Adaptation

**Outcome Name** 7.0 Strengthened adaptive capacity and reduced exposure to climate risks

**Output Name (under the afore-mentioned outcome)**  
2.1: Conservations of biodiversity and ecosystem strengthened through enhanced diversification income-generating activities and development of community livelihood enterprises.

Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.

**Indicator Name** 7.1 Extent to which vulnerable households, communities, businesses, and public sector services use improved tools, instruments, strategies and activities (including those supported by the Fund) to respond to climate variability and climate change

**Unit** Percentage

Baseline	Current Value	Mid-term Target	Final Target
0	0	25	50

**Remarks (including changes, if any)**

N/A

Results Area Type	Outcome Name
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Adaptation	A8. 0 Strengthened awareness of climate threats and risk-reduction processes and regulatory systems for climate- responsive planning and development		
<b>Output Name (under the afore-mentioned outcome)</b>			
Output 1.1 Institutional landscape governance systems created and/or strengthened through participatory decision making processes and knowledge sharing at local level.			
Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.			
<b>Indicator Name</b>			
8.1 Number of males and females made aware of climate threats and related appropriate responses			
<b>Unit</b>			
Number			
<b>Baseline</b>	<b>Current Value</b>	<b>Mid-term Target</b>	<b>Final Target</b>
0	334	150000	126000
<b>Remarks (including changes, if any)</b>			
274 participants of the investment plan workshops (equal representation was achieved during the workshops (50% (137) male and 50% (137) women).			
60 participants during awareness-raising meeting by NACSO-IWG, ( 42% (25) male and 58% (35) female).			
Altogether 162 Male (49%) and 172 females (51%) were made aware of climate change threats and related appropriate responses.			

<b>Results Area Type</b>	<b>Outcome Name</b>		
Adaptation	N/A		
<b>Output Name (under the afore-mentioned outcome)</b>			
1.1 Institutional landscape governance systems created and/or strengthened through participatory decision making processes and knowledge sharing at local level.			
Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.			
<b>Indicator Name</b>			
1.1.1 Number of functional Landscape governance systems			
<b>Unit</b>			
Number			
<b>Baseline</b>	<b>Current Value</b>	<b>Mid-term Target</b>	<b>Final Target</b>
0	0	5	8
<b>Remarks (including changes, if any)</b>			
N/A			

<b>Results Area Type</b>	<b>Outcome Name</b>
Adaptation	N/A
<b>Output Name (under the afore-mentioned outcome)</b>	
1.1 Institutional landscape governance systems created and/or strengthened through participatory decision making processes and knowledge sharing at local level.	
Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.	

**Indicator Name**  
 1.1.2 Number of CBO's, NGO's, extension staff trained on ecosystem based adaptation

**Unit**  
 Number

Baseline	Current Value	Mid-term Target	Final Target
0	0	80	80

**Remarks (including changes, if any)**  
 N/A

**Results Area Type**      **Outcome Name**  
 Adaptation      N/A

**Output Name (under the afore-mentioned outcome)**  
 1.1 Institutional landscape governance systems created and/or strengthened through participatory decision making processes and knowledge sharing at local level.

Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.

**Indicator Name**  
 1.1.3 Level of integration of ecosystem based adaptation measures into regional and local level conservation plans

**Unit**  
 Level

Baseline	Current Value	Mid-term Target	Final Target
0	0	70	100

**Remarks (including changes, if any)**  
 N/A

**Results Area Type**      **Outcome Name**  
 Adaptation      N/A

**Output Name (under the afore-mentioned outcome)**  
 1.1 Institutional landscape governance systems created and/or strengthened through participatory decision making processes and knowledge sharing at local level.

Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.

**Indicator Name**  
 1.1.4 Percentage of population in the 8 landscapes with access to improved climate information

**Unit**  
 Percentage

Baseline	Current Value	Mid-term Target	Final Target
0	0	40	80

**Remarks (including changes, if any)**  
 N/A

<b>Results Area Type</b>	<b>Outcome Name</b>		
Adaptation	N/A		
<b>Output Name (under the afore-mentioned outcome)</b>			
1.1 Institutional landscape governance systems created and/or strengthened through participatory decision making processes and knowledge sharing at local level.			
Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.			
<b>Indicator Name</b>			
1.1.5 Availability of national EbA sustainability mechanism mainstreamed into landscape			
<b>Unit</b>			
Number			
<b>Baseline</b>	<b>Current Value</b>	<b>Mid-term Target</b>	<b>Final Target</b>
0	0	5	8
<b>Remarks (including changes, if any)</b>			
N/A			

<b>Results Area Type</b>	<b>Outcome Name</b>		
Adaptation	N/A		
<b>Output Name (under the afore-mentioned outcome)</b>			
1.2: Institutional capacity enhanced for ecosystem landscape management and climate change resilience at sub-national and local levels			
Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.			
<b>Indicator Name</b>			
1.2.1 Number of landscape with comprehensive ecosystem-based adaptation plan in place and meteorological service early warning information integrated			
<b>Unit</b>			
Number			
<b>Baseline</b>	<b>Current Value</b>	<b>Mid-term Target</b>	<b>Final Target</b>
0	0	5	8
<b>Remarks (including changes, if any)</b>			
N/A			

<b>Results Area Type</b>	<b>Outcome Name</b>		
Adaptation	N/A		
<b>Output Name (under the afore-mentioned outcome)</b>			
1.2: Institutional capacity enhanced for ecosystem landscape management and climate change resilience at sub-national and local levels			
Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.			
<b>Indicator Name</b>			
1.2.2 Number of landscape systems with approved manuals			
<b>Unit</b>			
Number			

Baseline	Current Value	Mid-term Target	Final Target
0	0	5	8
<b>Remarks (including changes, if any)</b>			
N/A			

<b>Results Area Type</b>	<b>Outcome Name</b>		
Adaptation	N/A		
<b>Output Name (under the afore-mentioned outcome)</b>			
1.2: Institutional capacity enhanced for ecosystem landscape management and climate change resilience at sub-national and local level			
Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.			
<b>Indicator Name</b>			
1.2.3 Number of CBO's, NGO's, extension staff trained on ecosystem based adaptation issues i.e. diversification of agricultural landscapes, agroforestry, ecosystem restoration, sustainable income generation and others			
<b>Unit</b>			
Number			
<b>Baseline</b>	<b>Current Value</b>	<b>Mid-term Target</b>	<b>Final Target</b>
0	0	80	80
<b>Remarks (including changes, if any)</b>			
N/A			

<b>Results Area Type</b>	<b>Outcome Name</b>		
Adaptation	N/A		
<b>Output Name (under the afore-mentioned outcome)</b>			
2.1 Conservations of biodiversity and ecosystem strengthened through enhanced diversification income-generating activities and development of community livelihood enterprises			
Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.			
<b>Indicator Name</b>			
2.1.1 Number of sustainable income generation initiatives			
<b>Unit</b>			
Number			
<b>Baseline</b>	<b>Current Value</b>	<b>Mid-term Target</b>	<b>Final Target</b>
0	0	4	8
<b>Remarks (including changes, if any)</b>			
N/A			

<b>Results Area Type</b>	<b>Outcome Name</b>
Adaptation	N/A

**Output Name (under the afore-mentioned outcome)**  
 2.1 Conservations of biodiversity and ecosystem strengthened through enhanced diversification income-generating activities and development of community livelihood enterprises

Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.

**Indicator Name**  
 2.1.2 Number of media/or platform used for placing call for proposal and create project awareness

**Unit**  
 Number

Baseline	Current Value	Mid-term Target	Final Target
0	2	4	4

**Remarks (including changes, if any)**  
 Nation Radio - Presentation on EbA project and investment plan development workshops.

<b>Results Area Type</b> Adaptation	<b>Outcome Name</b> N/A
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**Output Name (under the afore-mentioned outcome)**  
 2.1 Conservations of biodiversity and ecosystem strengthened through enhanced diversification income-generating activities and development of community livelihood enterprises

Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.

**Indicator Name**  
 2.1.3 Number of functional and sustainable smallscale Eco- Enterprises established in different landscape

**Unit**  
 Number

Baseline	Current Value	Mid-term Target	Final Target
0	0	5	10

**Remarks (including changes, if any)**  
 N/A

<b>Results Area Type</b> Adaptation	<b>Outcome Name</b> N/A
--	----------------------------

**Output Name (under the afore-mentioned outcome)**  
 2.1 Conservations of biodiversity and ecosystem strengthened through enhanced diversification income-generating activities and development of community livelihood enterprises

Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.

**Indicator Name**  
 2.1.4 Degree of ecosystem restoration activities being implemented within the 8 landscape i.e. river flow, wetland, water quality, forest... etc

**Unit**  
 percentage

Baseline	Current Value	Mid-term Target	Final Target
0	0	40	60
<b>Remarks (including changes, if any)</b>			
N/A			

<b>Results Area Type</b>	<b>Outcome Name</b>		
Adaptation	N/A		
<b>Output Name (under the afore-mentioned outcome)</b>			
3.1: Effective knowledge management results in informed decision-making at all levels through an integrated information system			
Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.			
<b>Indicator Name</b>			
3.1.1 Number of Quality knowledge management products developed and, shared'			
<b>Unit</b>			
Number			
<b>Baseline</b>	<b>Current Value</b>	<b>Mid-term Target</b>	<b>Final Target</b>
0	0	4	6
<b>Remarks (including changes, if any)</b>			
N/A			

<b>Results Area Type</b>	<b>Outcome Name</b>		
Adaptation	N/A		
<b>Output Name (under the afore-mentioned outcome)</b>			
3.1: Effective knowledge management results in informed decision-making at all levels through an integrated information system			
Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.			
<b>Indicator Name</b>			
3.1.2 Degree at which community members benefiting and using information from landscape level based disaster management systems			
<b>Unit</b>			
Percentage			
<b>Baseline</b>	<b>Current Value</b>	<b>Mid-term Target</b>	<b>Final Target</b>
0	0	50	80
<b>Remarks (including changes, if any)</b>			
N/A			

<b>Results Area Type</b>	<b>Outcome Name</b>
Adaptation	N/A

**Output Name (under the afore-mentioned outcome)**  
 3.1: Effective knowledge management results in informed decision-making at all levels through an integrated information system

Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.

**Indicator Name**  
 3.1.3 Number of national awareness initiatives including stakeholder engagement conducted

**Unit**  
 Number

Baseline	Current Value	Mid-term Target	Final Target
0	1	2	4

**Remarks (including changes, if any)**  
 -Stakeholder engagement conducted for 8 landscapes.

**Results Area Type**      **Outcome Name**  
 Adaptation      N/A

**Output Name (under the afore-mentioned outcome)**  
 3.1: Effective knowledge management results in informed decision-making at all levels through an integrated information system

Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.

**Indicator Name**  
 3.1.4 National EbA strategy developed in consultation with the NDC and NAP for mainstreaming EbA into National Development Plan (NDP)

**Unit**  
 Number

Baseline	Current Value	Mid-term Target	Final Target
0	0	1	1

**Remarks (including changes, if any)**  
 N/A

**Results Area Type**      **Outcome Name**  
 Adaptation      N/A

**Output Name (under the afore-mentioned outcome)**  
 3.1: Effective knowledge management results in informed decision-making at all levels through an integrated information system

Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.

**Indicator Name**  
 3.1.5 Policy based assessment report on EbA with recommendations for upscaling and mainstreaming EbA into NDP produced

**Unit**  
 Number

Baseline	Current Value	Mid-term Target	Final Target
0	0	1	1



**Remarks (including changes, if any)**

N/A

**If applicable, please submit a supporting document describing the calculation methodology for the current values provided.**

**2.5 Report on changes during implementation (include actual and expected changes)**

No changes recorded during the reporting period.

Describe changes to the project during the reporting period. In particular, the report should cover elements such as change of beneficial ownership structure, management changes of the Accredited Entity, policies and other elements relevant for the project, and any other material change that could influence the overall outcome of the project.

**2.6 Implementation challenges and lessons learned**

**Challenge encountered**

COVID 19 Outbreak and Related restrictions ;

- Field level activities were delayed due to prolonged Covid-19 related regulations and restrictions that were only eased towards the latter part of the year.
- Adverse effects of COVID 19 on key stakeholders, consultants, and PMU to set meetings and travel.

Describe the challenge faced during the last twelve (12) months of implementation and critical risks that may result in a change to the scope and/or timing of the project; please provide a description and how they have impacted the implementation period and could impact other activities and final targets. N.B.

Choose the most relevant type if the challenge is related to multiple types.

**Challenge type**

Other (e.g. COVID-19, cyber security risks)

**Impact on the project implementation**

High

**Measures adopted**

- Clustered meetings were held whenever restrictions were eased.
- The project utilized available online platforms as practically possible for meetings with key stakeholders.

**Please check if the above-mentioned challenge(s) has been resolved during the reporting period**

The challenge(s) has been resolved during the reporting period.

**Lesson learned and other remarks**

Virtual meetings were the norm of communication with stakeholders.

**Please check if this challenge is caused by COVID-19 pandemic.**

- Yes  
 No

**Please choose the severity of overall impact.**

Facing delays

Description of levels of severity:

1. On-track with no or minor impact: No or minor impact on project implementation and corresponding annual activities.
2. Facing delays: Implementation progress faced delays in the timeline but did not require any substantial changes in the implementation plan.
3. A minor change(s) required: Changes that are not classified as Major changes but requires intervention from GCF.
4. A major change(s) required: As per paragraph 16 of the Policy on Restructuring and Cancellation - Board Decision B.22/14 paragraph (a). Please find the link to the policy document below.

[GCF Policy on Restructuring and Cancellation](#)

**Select a type of the COVID-19 challenges encountered.**

Field Activities

Sample challenges for Field Activities:

- Delays in travels, planned training, workshops, conferences, events, and awareness-raising events
- Limited access to project sites especially outer islands
- Postponed field missions for collecting/validating information, and conducting consultations with local stakeholders
- Measures required to ensure the security and safety of workers
- Delays in pilot projects, feasibility/baseline studies

**Please describe if any support is required from the GCF to address the COVID-19 impact on your project/programme.**

N/A

## 2.7 Updated implementation timetable for the Funded Activity

Please submit the implementation time table for the Funded Activity

[Implementation Plan.pdf](#)

### Confirmation and Acknowledgement of Information \*

\* This is a required question to submit section 2 of the Annual Performance Report (APR).

The accredited entity hereby confirms that the information provided in section 2 is complete and ready for submission.

## APR CY2021 Section 3: Financial Information - v3 2022-08-09 19:38 +09:00

### [APR CY2021] Section 3: Financial Information

Please note that this is section 3 of the five Annual Performance Report (APR) sections. APR will be considered valid only after all the five sections are filled with relevant details.

#### 3.1 Approved Budget for entire project period as per FAA

Currency

usd

#### GCF Funding (Equity)

#### GCF Funding (Grants)

8904000

#### GCF Funding (Guarantees)

#### GCF Funding (Loans)

#### GCF Funding (Results-Based Payment)

#### 3.1.1 Total GCF Funding

8904000

## 3.2 Co-financing

Currency

usd

## Co-financing (Equity)

## Co-financing (Grants)

## Co-financing (Guarantees)

## Co-financing (In-kind)

## Co-financing (Loans)

## Co-financing (Results-Based Payment)

## 3.2.1 Total Co-financing

Please confirm the afore-mentioned values are different as per your knowledge. \*

3.3 Disbursements Details (Cumulative to this reporting period)

3.3.1 Total GCF Disbursement

660000	<b>Currency</b> usd
--------	------------------------

GCF Equity Disbursement

0
---

GCF Grants Disbursement

660000
--------

GCF Guarantees Disbursement

0
---

GCF Loans Disbursement

--

GCF Results-Based Payment Disbursement

0
---

GCF Loans Disbursement

0
---

GCF Senior-Loans Disbursement

0
---

GCF Subordinated-Loans Disbursement

0
---

GCF Grants Disbursement

660000
--------

GCF Non-reimbursable Grants Disbursement

660000
--------

GCF Reimbursable Grants Disbursement

0
---

Please confirm the afore-mentioned values are different as per your knowledge. \*

No differences to be reported.
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3.3.2 Co-Financing Disbursement

0	<b>Choose currency</b> USD
---	-------------------------------

Provide the cumulative amount of disbursements from the start of implementation to the end of this reporting period. Indicate '0' if no amount is disbursed yet.

3.3.3 Total Project Disbursement

660000	<b>Choose currency</b> USD
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Provide the cumulative amount of disbursements from the start of implementation to the end of this reporting period. Indicate '0' if no amount is disbursed yet.

Please provide comments on sub-section 3.3, if any.

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## 3.4 Expenditure details (Cumulative to this reporting period)

Choose currency

USD

**GCF Equity Expenditures**

0

Provide the cumulative amount of expenditures from the start of implementation to the end of this reporting period. Indicate '0' if no amount is disbursed yet.

**GCF Grants Expenditures**

399774.84

Provide the cumulative amount of expenditures from the start of implementation to the end of this reporting period. Indicate '0' if no amount is disbursed yet.

**GCF Guarantees Expenditures**

0

Provide the cumulative amount of expenditures from the start of implementation to the end of this reporting period. Indicate '0' if no amount is disbursed yet.

**GCF Loans Expenditures**

0

Provide the cumulative amount of expenditures from the start of implementation to the end of this reporting period. Indicate '0' if no amount is disbursed yet.

**3.4.1 GCF Expenditures**

399774.84

Provide the cumulative amount of expenditures from the start of implementation to the end of this reporting period. Indicate '0' if no amount is disbursed yet.

**3.4.2 Co-financing Expenditures**

0

Provide the cumulative amount of expenditures from the start of implementation to the end of this reporting period. Indicate '0' if no amount is disbursed yet.

**3.4.3 Total Project Expenditures**

399774.84

**Please provide comments on sub-section 3.4, if any.**


## 3.5 Investment &amp; Other Income (Cumulative to this reporting period)

**Reporting Level for investment**

Please select the second option 'Accredited Entity Portfolio Level' only if AEs have more than one project where all GCF funds are held in a consolidated GCF Special Account.

 Project Level Accredited Entity Portfolio Level

Choose currency

USD

**Accredited Entity Portfolio Level Investment & Other Income**

14746.34

**Please provide comments on sub-section 3.5, if any.**


## 3.6 Report on AE fees (Cumulative to this reporting period)

**Reporting Level for AE fees**

Please select the second option 'Accredited Entity Portfolio Level' only if AEs have more than one project where all GCF funds are held in a consolidated GCF Special Account.

 Project Level Accredited Entity Portfolio Level

Choose currency

USD

**Accredited Entity Portfolio Level AE Fees**

181847.26

**Please provide comments on sub-section 3.6, if any.**

The AE Fees were aggregated at AE Portfolio level thus the difference between amount disbursed and cumulative AE fee expenditure.

**3.7 Annual Financial Performance Report****Please download the Financial Performance Report Template in Excel.**[Financial Performance Report Template](#)

This sub-section 3.7 is not applicable for REDD+ Results-Based Payments Projects. Please provide a separate 'Financial Progress Details' in Section 6.

**Please attach the Annual Financial Performance Report here.**[APR 2021 - SAP006\\_NX.xlsx](#)**3.8 Unaudited Financial Statement****Submit the Unaudited financial statement (as required by FAA)**[SAP006 31 December 2021.xlsx](#)

(Semi-)Annual Audited financial statement, Interim/Final Evaluation Report should be submitted via separate and dedicated submission channels.

**Please provide comments on the attachment.**

The AE revised the 2020 APR and corrected the prior year omitted expenditure in the 2021 APR.

**Confirmation and Acknowledgement of Information \***

\* This is a required question to submit section 3 of the Annual Performance Report (APR).

 The accredited entity hereby confirms that the information provided in section 3 is complete and ready for submission.

## **APR CY2021 Section 4: Environmental and Social Safeguards & Gender - v3 2022-08-09 19:38 +09:00**

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### [APR CY2021] Section 4: Environmental and Social Safeguards & Gender

Please note that this is section 4 of the five Annual Performance Report (APR) sections. APR will be considered valid only after all the five sections are filled with relevant details.

**4.1 Implementation of environmental and social safeguards and gender elements**

Please provide information on the project or programme on the following: (1) key risks and impacts as identified; (2) compliance with applicable laws and regulations including FAA conditions and covenants; and (3) progress in the implementation of environmental and social management plans and programs including monitoring activities undertaken during the implementation of the funded activity.

**4.1.1 The information includes description on any changes in the key environmental and social risks and impacts as identified and arising from the implementation including any unanticipated risks and impacts (ex. from changes in laws and regulations) and, based on these if any change in the project's environmental and social risk category. In case of a change in the E&S risk category for the project, please provide an explanation.**

No changes were reported on key environmental and social risks during the reporting period.

**4.1.2 The information should include status of compliance with applicable laws and regulations of the country as well as the relevant conditions or covenants under the FAA. This can be captured in the table below:**

**Status of compliance with applicable laws and regulations and the conditions and covenants specifically addressing ESS & Gender under FAA**

<b>Compliance Type</b>
Covenant
<b>Compliance Title &amp; Description</b>
FAA: Page 13 , Clause 10.02 (f) &(g)
<b>Status of compliance</b>
No activities were implemented during the reporting period. However, the AE will ensure ESS compliance throughout the project.

**4.1.3 Provide a report on the progress made during the reporting period in implementing environmental and social management plans (ESMPs) and frameworks (ESMFs) describing achievements and specifying details outlined in the tables below.**

**Implementation of ESMPs and ESMFs**

<b>Activities implemented during the reporting period, including monitoring</b>
No progress due to delayed implementation and delays brought forth by COVID 19 regulation and restrictions.
<b>Outputs during the reporting period</b>
No progress due to delayed implementation.
<b>Key environmental, social and gender issues, risks and impacts addressed during implementation</b>
No issues recorded , no progress due to delayed implementation.
<b>Any pending key environmental, social and gender issues needing accredited entity's actions and GCF attention</b>
No pending issues for the reporting period.



**4.1.4 AEs are obligated to inform executing entities, people and project beneficiaries about the GCF's Independent redress Mechanism and the AE's own Grievance Redress Mechanism. This includes bringing the contact details, accessibility, and basic procedures of such mechanisms to the attention of executing entities, people and project beneficiaries. Please provide detailed information on the steps taken by the AE to fulfill this obligation during the reporting period in the project target area and to the public, including the dissemination of information through meetings, brochures, hotlines, and other means.**

Please provide detailed information including dates and venues of activities, number of attendees, confirmation that information was provided on the 1) IRM and 2) AE's grievance redress mechanism 3) project-level grievance mechanism (where applicable).

No grievances were submitted to or received by the AE during the reporting period. Although no grievances were encountered, the AE an internal Review and Appeal committee, an independent structure with a purpose of addressing concerns from the public, targeted beneficiaries, stakeholders and Executing Entity. The review Committee contact information is available on the AE's website for public access.

**4.1.5 Include a description of the actions undertaken during the reporting period towards increasing the relevant stakeholders' engagement in the project environmental, social and gender elements.**

The information in this subsection should be provided for all projects regardless of the E&S risk category for the project.

#### Implementation of the stakeholder engagement plan

##### Activities implemented during the reporting period

Radio announcements.

##### Dates and venues of engagement activities

August 2021.

##### Information shared with stakeholders

Radio interviews on the national radio:

- Project progress was shared.
- Announcing the dates for regional investment plan development workshops
- Encouraging the need for equal gender representation for institutions participating in the investment plan development workshops.

##### Outputs including issues addressed during the reporting period

Awareness creation on the project objectives, progress thus far and the importance of gender mainstreaming in the SAP006 project.

**4.1.6 Implementation of the grievance redress mechanism - list on the grievances received in the reporting period with the description of the grievance, the date the grievance was received, and the resolution of the grievance.**

Description of issues/complaints received during the reporting period	Date of receipt
<p>No grievance /complaint received during the reporting period</p>	<p></p>
<p>Please specify to which grievance mechanism the complaint was filed (AE grievance mechanism or project-level grievance mechanism, If known, please explain relationship of the complainant to the project (for example: community member, contractor, business-owner, etc.)</p>	
Description of resolution	Status of addressing issues/complaints
<p>N/A</p>	<p>N/A</p>
<p>Based on GRM's own policies, provide information related to phase of complaint (for example: including but not limited to Open, Open: Under investigation, Open: Problem Solving/Compliance Review, Closed)</p>	
<p><b>If you wish to share more details on the nature of grievances reported above, please download the Grievance Tracker template from the FAQ and submit it as an attachment here.</b></p>	
<p>In case you want to keep the file confidential, please choose your preference accordingly in Section 1.1.</p>	

**4.2 Gender Action Plan**

The project has since its inception been monitoring the implementation of the Gender Action Plan. For the reporting period, the overall participation of women in the project activities is at 51% while male participation is at 49%. This is a clear indication of equal gender participation in activities implemented during the reporting period. The project continues to encourage equal gender participation and will ensure the integration of gender-responsive indicators into the SAP006 project work plan.

Provide a progress report on the gender action plan developed during project preparation stage for the reporting period. This will primarily be a report on activities undertaken and results achieved as a result of completion of an activity. Further it should also indicate if the project is on track to achieving the intended outcome(s). The reporting should be done for activities, targets and indicators already set in the action plan including on vulnerable groups (youth, poor, female heads of households, etc.) as would have been identified in the gender analysis and action plan. If activities or targets are not achieved as per plan, reasons should be provided, and recourse action should be proposed. Please include a reporting on any changes or deviations. Include a Report on implementation challenges and lessons learnt and how these will inform on-going actions and what action will be taken by when to address the challenges faced. Incorporate both quantitative data and qualitative report of the performance of such actions, and on progress on actions identified.

**4.2.1 Progress on implementing the project-level gender action plan submitted with the funding proposal**

<b>Activity / Action</b>	
1. Ensure gender-balanced participation in setting up and strengthening governance system over the project implementation period	
<b>Indicator</b>	
Percentage of population in the 8 landscapes with access to improved climate information and drought, flood, and severe storm warnings of which 50% are women and 50% men.	
<b>Baseline</b>	<b>Target, including sex-disaggregation</b>
0%	100% ( 50% males & 50% females)
<b>Budget</b>	<b>Currency</b>
0	USD
<b>Report on annual progress</b>	
<p>50 % achieved through workshops and meetings held during the development of the investment plan, meetings with NACSO members where the GCF and EIF gender policies and related requirements were presented.</p> <p>The targeted 100% was not achieved during the reporting period due to delayed implementation of the grant facility. The AE will deliberate effort to fast-track delayed activities by clustering landscapes meetings and workshops and work with NACSO partners who directly work with communities in target areas.</p>	

<b>Activity / Action</b>	
2. Collaborate with local NGOs that work with women to address women-specific needs to remove barriers to participation in renewable energy, water management, and eco-tourism.	
<b>Indicator</b>	
The number of community beneficiaries and percentage of women and marginalized people by age, who are supported by local NGOs and received skills development training.	
<b>Baseline</b>	<b>Target, including sex-disaggregation</b>
0	(50% male and 50% female)
<b>Budget</b>	<b>Currency</b>
0	USD
<b>Report on annual progress</b>	
0% No progress due to delayed implementation that resulted from COVID related restrictions and regulations. Meetings and workshops with local NGOs could not be conducted.	

<b>Activity / Action</b>	
3. Ensure equitable representation of women and men from different ethnic groups, social classes, and age groups on EbA funded project management committee, planning, and activity meetings	
<b>Indicator</b>	
Percentage of men (50%) and women (50%) representation in the project management committee and special consideration for the marginalized community	

<b>Baseline</b>	<b>Target, including sex-disaggregation</b>
0	(50% male and 50% female)
<b>Budget</b>	<b>Currency</b>
0	USD
<b>Report on annual progress</b>	
<p>No progress due to delayed implementation that resulted from COVID related restrictions and regulations.</p> <p>Equal gender representation will be considered at the Project Management Committee that will be set up once the grants are awarded. Deliberate efforts will be made to ensure that marginalized communities are represented in all project activities, this consideration will also be embedded in the grants agreements.</p>	

<b>Activity / Action</b>	
4. Target both men and women to contribute to the development of ecosystem-based adaptation plans.	
<b>Indicator</b>	
Number of beneficiaries participating in the crafting of comprehensive ecosystem-based adaptation plan for respective landscape and meteorological service early warning information integration ( 50% men and 50% woman, further segregated by age)	
<b>Baseline</b>	<b>Target, including sex-disaggregation</b>
0	( 50% men and 50% woman, further segregated by age)
<b>Budget</b>	<b>Currency</b>
0	USD
<b>Report on annual progress</b>	
<p>During the reporting period,162 Male (49%) and 172 females (51%) were made aware of climate change threats and related appropriate responses. This representation was achieved during the consultation workshops for developing an ecosystem-based adaptation plan for eight (8) targeted landscapes. 274 Participants attended the investment plan workshops and 60 participants attended awareness-raising meetings conducted by NACSO-IWG.</p>	

<b>Activity / Action</b>	
5. Build capacity and technical expertise of EIF, EbA beneficiaries on gender-sensitive and /or responsive M&E.	
<b>Indicator</b>	
Percentage of beneficiaries trained segregated by gender which should be 50% men and 50% woman).	
<b>Baseline</b>	<b>Target, including sex-disaggregation</b>
0	50% men and 50% women).
<b>Budget</b>	<b>Currency</b>
0	USD
<b>Report on annual progress</b>	
<p>0% No progress due to delayed implementation that resulted from COVID related restrictions and regulations e.g. Travel restrictions and limitation of gathering ( meetings attendance were limited to not more 10 people).</p>	

<b>Activity / Action</b>
--------------------------

6. Use participatory and experiential learning methods to identify women capacity needs in all EbA activities.

**Indicator**

Percentage of beneficiaries trained segregated by gender which should be 50% men and 50% women.

**Baseline**

0

**Target, including sex-disaggregation**

50% female and 50% male, segregated by gender

**Budget**

0

**Currency**

USD

**Report on annual progress**

0% no progress recorded due to delayed project implementation that resulted from COVID related restrictions and regulations.

**Activity / Action**

7. Ensure gender-balanced participation in capacity build from CBOs and NGOs representatives for training in Ecosystem-based adaptation.

**Indicator**

Percentage of female and male trained on ecosystem-based adaptation and crafting of quality proposals, striving for 50% female and 50% male, segregated by age.

**Baseline**

0

**Target, including sex-disaggregation**

50% female and 50% male

**Budget**

0

**Currency**

USD

**Report on annual progress**

No progress due to delayed implementation that resulted from COVID related restrictions and regulations.

**Activity / Action**

8. Guarding of gender responsive technology and gender sensitive projects initiatives.

**Indicator**

Number of gender friendly technology implemented over the project implementation period.

**Baseline**

0

**Target, including sex-disaggregation**

(50% male-headed and 50% female-headed household)

**Budget**

0

**Currency**

USD

**Report on annual progress**

No progress due to delayed implementation that resulted from COVID related restrictions and regulations. Gender friendly technologies will be utilized during the implementation of grants projects.

**Activity / Action**

9. Equal benefit sharing for men and women from local level adaptation funds. Ensure female headed households have equal access to local funds.

**Indicator**

Percentage of male-headed and female-headed households receiving translated early warning systems in local languages (50% male-headed and 50% female-headed household)

**Baseline**

0

**Target, including sex-disaggregation**

( 50% men and 50% woman, further segregated by age)

**Budget**

0

**Currency**

USD

**Report on annual progress**

No progress due to delayed implementation that resulted from COVID related restrictions and regulations.

**Activity / Action**

10. Identify and enhance synergies between mitigation actions and the adaptive capacities of women and men to deliver long-term benefits.

**Indicator**

Percentage of male-headed and female-headed households receiving translated early warning systems in local languages (50% male-headed and 50% female-headed household)

**Baseline**

0

**Target, including sex-disaggregation**

50% men and 50% woman

**Budget**

0

**Currency**

USD

**Report on annual progress**

No progress due to delayed implementation that resulted from COVID related restrictions and regulations.

**Activity / Action**

11. Engage both CBNRM and Gender/Women support organization to inspire and enhance men and women's agency in conservancies and community forest reserves.

**Indicator**

Number of female-headed and male-headed households that benefitted from local adaptation funding.

**Baseline**

0

**Target, including sex-disaggregation**

50% female and 50% male, segregated by age.

**Budget**

0

**Currency**

USD

**Report on annual progress**

No progress due to delayed implementation that resulted from COVID related restrictions and regulations. Engagements with women and men in conservancies and community forest reserves was hindered due to travel restrictions and limitation of the gathering ( meetings attendance were limited to not more 10 people).

The AE and NACSO-IDWG will organize and invite institutions that directly works with men and women groups (Rural Women Assembly, water point associations and farmers associations) to all regional cluster workshops.

**Activity / Action**

12. Increase exposure for women to go beyond localized household based activities and visit other regions for learning and experience exchange.

**Indicator**

Percentage of women participating in the project activities being exposed to other practice in other region/country.

**Baseline**

0

**Target, including sex-disaggregation**

50% female and 50% male

**Budget**

0

**Currency**

USD

**Report on annual progress**

No progress due to delayed implementation that resulted from COVID related restrictions and regulations.

**Activity / Action**

13. Improve female-headed households and women’s adaptive capacity by creating self-help group and provide funding that cater for localized ecosystem based adaptation initiatives.

**Indicator**

Number of households that are participating in the implementation of climate-resilient agriculture, community forestry management, wildlife-management year-round access to renewable energy and water-efficient supply

**Baseline**

0

**Target, including sex-disaggregation**

50% male-headed and 50% female-headed household

**Budget**

0

**Currency**

USD

**Report on annual progress**

No progress due to delayed implementation that resulted from COVID related restrictions and regulations.

**Activity / Action**

14. Gather gender disaggregated data on all activities implemented, training offered, planning and information awareness meeting and dissemination of early warning forecasts

**Indicator**

Gender disaggregated data captured and reported on with full consideration of marginalized communities.

<b>Baseline</b>	<b>Target, including sex-disaggregation</b>
0	50% male-headed and 50% female-headed household
<b>Budget</b>	<b>Currency</b>
0	USD
<b>Report on annual progress</b>	
No progress due to delayed implementation that resulted from COVID related restrictions and regulations.	

**Activity / Action**

15. Conduct quarterly gender analysis on women and marginalized communities' participation in project activities, improved access to information and gender balance statistics on decision-making bodies and other structures

**Indicator**

Quarterly report produced

<b>Baseline</b>	<b>Target, including sex-disaggregation</b>
0	4
<b>Budget</b>	<b>Currency</b>
0	USD
<b>Report on annual progress</b>	
No progress due to delayed implementation that resulted from COVID related restrictions and regulations.	

**Activity / Action**

16. Appoint a gender mainstreaming expert in the Project Steering Committee (Part-time or full-time.)

**Indicator**

Gender expert contracted/appointed to advise the steering committee

<b>Baseline</b>	<b>Target, including sex-disaggregation</b>
100%	100% ( 50% men and 50% women)
<b>Budget</b>	<b>Currency</b>
0	USD
<b>Report on annual progress</b>	
100% The SAP006 project utilizes the same PSC as FP024, hence a gender specialist from the University of Namibia initially appointed on the FP024 Project Steering Committee still serves on the committee.	

**Activity / Action**

17. EbA activities to apply gender responsive budgeting across all projects and programmes



<b>Indicator</b>	
Gender disaggregated data was captured and reported on with full consideration of marginalized communities.	
<b>Baseline</b>	<b>Target, including sex-disaggregation</b>
0	50% male-headed and 50% female-headed household
<b>Budget</b>	<b>Currency</b>
0	USD
<b>Report on annual progress</b>	
100% The approved SAP006 Workplan includes gender-specific indicators.	

#### 4.3 Planned activities on environmental and social safeguards for the next reporting period

As per the approved proposal and FAA, the SAP006 project is considered as a minimal or no adverse environmental and social risk. The proposed scope of project interventions will largely result in positive environmental and social impacts, and the minor social effects will be mainly micro-sites specific impacts from the implementation of sub-projects under the SAP006 small grants facility.

- All project activities to be funded under the SAP006 small grants facility will be subjected to mandatory ESS assessments as prescribed under the AE's ESS policy.
- The AE will develop a grants application package that is inclusive of ESS assessment and monitoring templates for SAP006 grants facility.
- The AE will conduct due diligence visits aimed at assessing social and environmental risks associated with all interventions implemented under the SAP006 grants facility.
- Develop ESS reports.

Provide a list of activities in the ESMP to be implemented in the next reporting period. Include relevant deliverables such as reports or action plans, and other project specific products. Please include the monitoring schedule concerning ESS (including other potential vulnerable groups and indigenous people) for the next annual reporting period.

#### 4.4 Planned activities on gender elements for the next reporting period

**As per the Gender Action Plan developed during the project design, all seventeen (17) gender actions will be implemented in the next reporting period;**

1. Ensure gender-balanced participation in setting up and strengthening the governance system over the project implementation period.
2. Collaborate with local NGOs that work with women to address women's specific needs to remove barriers to participation in renewable energy, water management, and eco-tourism.
3. Ensure equitable representation of women and men from different ethnic groups, social classes, and age groups on SAP006 funded project management committees, planning, and activity meetings.
4. Target both men and women to contribute to the development of ecosystem-based adaptation plans.
5. Build the capacity and technical expertise of EIF, EbA beneficiaries, and partners on gender-sensitive and/or responsive M&E.
6. Use participatory and experiential learning methods to identify men's and women's capacity needs in all EbA activities.
7. Ensure that there is gender-balanced participation in capacity building of CBO's and NGO's representatives during ecosystem based adaptation training.
8. Guarding of gender-responsive technology, and gender-sensitive project initiatives.
9. Equal benefit sharing for men and women from local level adaptation funds. Ensure female-headed households have equal access to local funds.
10. Identify and enhance synergies between mitigation actions and the adaptive capacities of women and men to deliver long-term benefits.
11. Engage both CBNRM and Gender/Women support organizations to inspire and enhance men and women's agency in conservancies and community forest reserves.
12. Increase exposure for women to go beyond localized household-based activities and visit other regions for learning and experience exchange.
13. Improve female-headed households and women's adaptive capacity by creating self-help groups and providing funding that cater for localized ecosystem-based adaptation initiatives.
14. Gather gender-disaggregated data on all activities implemented, training offered, planning and information awareness meeting, and dissemination of early warning forecasts.
15. Conduct quarterly gender analysis on women and marginalized communities' participation in project activities, improved access to information and gender balance statistics on decision-making bodies and other structures.
16. Appoint a gender mainstreaming expert in the Project Steering Committee (Part-time or full-time).
17. EbA activities to apply gender-responsive budgeting across all projects and programs.

Provide a list of activities in the gender action plan to be implemented in the next reporting period. Include relevant deliverables such as reports or action plans, and other project specific products including processes that will be involved to implement the activities effectively. Please include the monitoring schedule concerning gender activities for the next annual reporting period. Report on actions taken on any of the recommendations made by the secretariat (if applicable) to improve the level of integration of gender issues in the project.

**Confirmation and Acknowledgement of Information \***

\* This is a required question to submit section 4 of the Annual Performance Report (APR).

The accredited entity hereby confirms that the information provided in section 4 is complete and ready for submission.

## APR CY2021 Section 5: Annexes and Attachments - v3 2022-08-09 19:38 +09:00

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### [APR CY2021] Section 5: Annexes and Attachments

Please note that this is section 5 of the five Annual Performance Report (APR) sections. APR will be considered valid only after all the five sections are filled with relevant details.

#### Annex 1: Accredited Entity compliance reports

Self-assessment reports, Report on Actions pursuant to Clause 18.02, if applicable. Self-assessment reports: In accordance with the AMA requirement in Clause 13.01 of the Accreditation Master Agreement, with the Fiduciary Principles and Standards, ESS and Gender Policy. Report on Actions pursuant to Clause 18.02: Only applicable to International Accredited Entities. In accordance with the Monitoring and Accountability Framework, a report on its actions carried out or planned to be carried out pursuant to Clause 18.02 of the Accreditation Master Agreement.

**Please provide comments on the annexes attached above if any.**

#### Attachments

**Please submit any attachments (if any).**

Investment Plan for Cluster 2.pdf

Investment Plan Stakeholder Validation Meeting Minutes.pdf

NDA & SAP006 PMU update sessions virtual meeting summary.pdf

PSC MEETING MINUTES 26 May 2021.pdf

ANNEX 4 (a) Investment Plan for Cluster 1.pdf

Annex 4(b) Investment Plan for Cluster 2.pdf

ESS Presentation.pdf

**Confirmation and Acknowledgement of Information \***

\* This is a required question to submit section 5 of the Annual Performance Report (APR).

The accredited entity hereby confirms that the information provided in section 5 is complete and ready for submission.