ANNEXURE 1

ENVIRONMENTAL AND SOCIAL SAFEGUARDS CHECK LIST

Please categorise the impacts of the project and provide justification (300 words). Use the outcomes of the environmental and social risks as provided in the table below:

- **Category A:** Projects that are likely to induce significant and/or irreversible adverse environmental and/or social impacts, or to significantly affect environmental or social components in the implementation areas. Likely impacts are few in number, site-specific, largely reversible, and readily minimized by applying appropriate management and mitigation measures.
- **Category B:** Projects are likely to have detrimental site-specific environmental and/or social impacts that are less adverse than those of Category A projects.
- **Category C:** Projects do not directly or indirectly affect the environment adversely and are unlikely to induce adverse social impacts. They do not require an environmental and social assessment.

Table: Environmental and Social Safeguards Check List and Rating

Assessment and Management of Environmental and Social Risks and Impacts	YES	NO	Comment or Explain
Did you provide a description of the environmental and social risk category of the project in the proposal?			
Did you provide the rationale for the categorization of the project in the relevant sections of the proposal?			
Is the identification of risks and impacts based on recent or up-to-date information?			
Labour and Working Conditions	**YES**	**NO**	**Comment or Explain**
Will the proposed activities have any impacts on the working conditions, particularly the terms of employment, worker's organization, non-discrimination, equal opportunity, child labour, and forced labour of direct, contracted and third-party workers?			

Will the proposed activities pose any occupational health and safety risks to workers including supply chain workers?			
Resource Efficiency and Pollution Prevention	**YES**	**NO**	**Comment or Explain**
Are the activities expected to generate (1) emissions to air; (2) discharges to water; (3) activity-related greenhouse gas (GHG) emissions; and (4) waste?			
Will the expected activities utilize any natural resources including water and energy?			
Will there be a need to develop detailed measures to reduce pollution and promote sustainable use of resources?			
Community Health, Safety, and Security	**YES**	**NO**	**Comment or Explain**
Will the proposed activities potentially generate risks and impacts to the health and safety of the affected communities?			
Will there be a need for an emergency preparedness and response plan that also outlines how the affected communities will be assisted in times of emergency?			
Will there be risks posed by the security arrangements and potential conflicts at the project site to the workers and affected community?			
Land Acquisition and Involuntary Resettlement	**YES**	**NO**	**Comment or Explain**
Are the activities likely involve the acquisition of lands, land rights or land-use rights through expropriation or other compulsory procedures in accordance with the legal system of the country?			

Are the activities likely to alter existing land use and restrict access to natural resources resulting in loss of livelihoods and other economic activities?			
Biodiversity Conservation and Sustainable Management of Living Natural Resources	**YES**	**NO**	**Comment or Explain**
Will the activities likely introduce invasive alien species of flora and fauna that will negatively affect the biodiversity of the area?			
Will the activities have potential impacts on or be dependent on ecosystem services including the production of living natural resources?			
Indigenous Peoples	**YES**	**NO**	**Comment or Explain**
Will the activities likely have direct or indirect impacts on indigenous peoples?			
Will the continuing stakeholder engagement process and grievance redress mechanism be integrated into the management/implementation plans?			
Cultural Heritage	**YES**	**NO**	**Comment or Explain**
Will the activity allow continuous access to cultural heritage sites and properties, where these exist?			
Will there be a need to prepare a procedure in case of the discovery of cultural heritage assets?			

Project Risk Categorisation

Please carefully consider the results of the rating above and determine the appropriate risk category of the project with a tick:

Risk Category	Tick	Explanation & Recommended Courses of Action
A		Proposed project activities are likely to cause significant adverse environmental and/or social risks/impacts that are diverse, irreversible or unprecedented. **The SAP006-EbA Project does not finance projects in this risk category.** **Please Explain:**
B		Proposed project activities are likely to cause mild adverse environmental and social risks/impacts that are few in number, generally site-specific, largely reversible, and readily addressed through mitigation. Further environmental and social review was therefore undertaken. Mitigation and management measures proposed are summarised below while details are contained in attached annexes. **The SAP006-EbA Project does not finance projects in this risk category.** **Please Explain:**
C		Project activities pose minimal or no adverse environmental or social risks/impacts. **The SAP006-EbA Project finances projects ONLY in this risk category.** **Please Explain:**